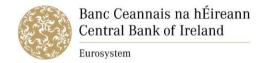


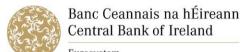
AIFM Regulatory Reporting Requirements

	Return Name	Guidance Note / Comments	Guidance link	Frequency
Financial		FINREP Reporting based on Annual Audited		
Reporting	FINREP+ Annual	Accounts - This return is to be supported by		Annually; within 4 months of
	Audited Accounts	the upload of audited accounts complete with		firm year end
		notes;		
		i.e. a PDF of the firm's P&L and Balance Sheet.		
	FINREP+ Interim	Interim FINREP Reporting requirement - this		
	Management	return is to be supported by the upload of		
	Accounts	management accounts complete with notes;		Annually; within 2 months of
	Accounts	i.e.		firm half year end
		a PDF of the firm's P&L and Balance Sheet		
	FINREP+ Monthly	Monthly FINREP Reporting requirement where		
	Management	a supervisory need is identified. Firms will be		Monthly; within 20 working
	Accounts	advised of this on an individual basis.		days of calendar month end
	FINREP+ Quarterly	Quarterly FINREP Reporting requirement		Quarterly; within 20 working
	Management	where a supervisory need is identified. Firms		days of firm quarter end
	Accounts	will be advised of this on an individual basis.		days of firm quarter end
Annual Audited		This return requires the upload of accounts		
	Annual Audited	complete with notes; i.e. a PDF of the P&L and		Annually; within 4 months of
	Accounts Upload	Balance Sheet		firm year end
		balance sneet		



Capital Reporting	Bank Statements	This is sent in conjunction with the Minimum Capital Requirement and the Own Funds Requirement		Bi-annually; within 4 months of firm year end and 2 months of firm half year end
	Bank Statements - Monthly Accounts	Where a supervisory need is identified for a monthly frequency. Firms will be advised of this on an individual basis		Monthly; within 20 working days of calendar month end
	Management/Interim Accounts Upload Returns	This return requires the upload of accounts complete with notes; i.e. a PDF of the P&L and Balance Sheet		Annually; within 2 months of firm half year end
	Requirement Report	Report must be submitted along with the half yearly and annual audited	Minimum Capital Requirement for AIFMs and UCITS Managers Guidance Note - (Applicable from November 28th 2023 for	Bi-annually; within 4 months of firm year end and 2 months of firm half year end
	Applicable from November 28th 2023 for Firms authorised from that date as either a UCITS Management		Firms authorised from that date as either a UCITS Management Company and/or AIFM and from May 27th 2024 for all firms authorised as either a UCITS Management Company and/or AIFM)	
	Company and/or AIFM May 27th 2024 for all firms authorised as either a UCITS		Minimum Capital Requirement for AIFMs and UCITS Managers Reporting Template – (Applicable from November 28th 2023 for Firms authorised from that	
	Management Company and/or AIFM		date as either a UCITS Management Company and/or AIFM and from May 27th 2024 for all firms authorised as either a UCITS Management Company and/or AIFM)	

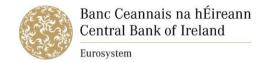
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1552	Euro	osystem			
		Minimum Capital	The Minimum Capital Requirement	Minimum Capital Requirement for AIFMs	
		Requirement Report	Report must be submitted along with	<u>and</u>	
		Applicable until May 27th 2024 for all firms holding UCITS Management Company authorisation and/or AIFM authorisation on or before November 27th 2023	the half yearly and annual audited accounts at the reporting intervals.	UCITS Managers Guidance Note - (Applicable until May 27th 2024 for all Firms holding UCITS Management Company authorisation and/or AIFM authorisation on or before November 27th 2023) Minimum Capital Requirement for AIFMs and UCITS Managers Reporting Template - (Applicable until May 27th 2024 for all Firms holding UCITS Management Company authorisation and/or AIFM authorisation on or before November 27th	Bi-annually; within 4 months of firm year end and 2 months of firm half year end
				<u>2023)</u>	
		Monthly Minimum	UCITS / AIFM where a supervisory need		
		Capital Requirement	is identified for a monthly frequency.		Monthly; within 20 working
		Report	Firms will be advised of this on an		days of calendar month end
			individual basis.		
_	ther eduled	Annual Ownership Confirmation Upload	This return requires the upload of the details of ownership of the firm.		Annually; within 1 month of calendar year end



ONR		As part of a firm's Fitness and Probity obligations,		
Returns		all RFSPs are required to submit an Annual PCF	Annual PCF Confirmation Guidance	
		Confirmation Return to the Central Bank via the	including Instructions	
	Annual PCF	Online Reporting System (ONR). The Return		Annually; within 2 months of
	Confirmation	provides for the Board to confirm that each active		calendar year end
		PCF Holder within RFSP is compliant with the	PCF Information Guidance	
		Fitness and Probity Standards and that they	(including Instructions)	
		continue to agree to abide by those Standards.		
			ICAAP Return Template	
		Authorised UCITS/AIFM Management		Annually; within 20 working
	ICAAP Report	Companies authorised to perform Individual		days of calendar quarter end
		Portfolio Management		in which ICAAP is due
		Authorised UCITS/AIFM Management		A II . la . 24 March la
	ICCL Report	Companies authorised to perform Individual	ICCL Guidance	Annually; by 31 March each
		Portfolio Management		year
			Monthly Client Assets Report	
			Guidance Note - Pursuant to	
			Regulation 165(7) of European	
	Monthly Client Asset	Authorised AIFMs authorised to hold Client	Communities (Markets in Financial	Monthly; within 20 working
	Report	Assets	Instruments) Regulations 2007 or	days of calendar month end
			Section 65(6) of the Investment	
			Intermediaries Act 1995 (as	
			applicable), the Monthly Client	

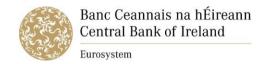


			Assets Report is applicable to investment firms subject to the Central Bank of Ireland's Client Asset Requirements	
	nthly Metrics urn XBRL	Authorised UCITS Management Companies or AIFM's approved to perform individual portfolio management (this return is to capture metrics relating to IPM activity only, regardless of whether the portfolio management activity is performed on a delegated or non-delegated basis).	Monthly Metrics guidance	Monthly; within 20 working days of calendar month end
Qua	n-Irish Authorised Irterly Funds Ier Administration Iurn	This return is necessary for firms providing administration services to Non- Irish funds		Quarterly; within 20 working days of calendar quarter end
Quai	n-Irish Authorised Irterly Funds Ier Management Iurn	This return is necessary for firms providing fund management services to Non- Irish funds		Quarterly; within 20 working days of calendar quarter end
	SM Impact Metric a Report (XBRL)	All FSP with a requirement to submit the PIMD return. Each firm is required to complete template PM 00.01 and one of the remaining templates (PM 01.00 – PM 09.00).	Central Bank Taxonomy Xbrl File Upload Guidance pdf 2080 KB	Quarterly; within 20 working days of calendar quarter end



Ad-Hoc Returns	Acquiring Transaction Notification Form & Support Documentation	Acquiring Transaction Notification Form is used to provide prior notification to the Central Bank of a proposed acquisition of, or increase in, a direct or indirect qualifying holding in respect of Irish authorised entities	Acquiring Transaction Notification Form	
	Alternative Investment Fund Manager Return	Reporting Guidance for Alternative Investment Fund Managers	Reporting Guidance for Alternative Investment Fund Managers	
	Alternative Investment Fund Return	Reporting Guidance for Alternative Investment Fund Managers	Reporting Guidance for Alternative Investment Fund Managers	
	Audited Interim Accounts	If a firm has an interim audit performed during its financial year		
	Auditors Findings Report	If a report is issued to the Board by the external auditor detailing the auditor's findings in relation to the audited annual accounts		
	Breach & Incident Report	When a firm becomes aware of a breach, potential future breach or operational incident		
	Capital Contribution Agreement	The Capital Contribution Agreement Form details new capital contributions to a firm and requests that these contributions may be included in its regulatory capital	Capital Contribution Agreement	

Changes to Business Plans, Statements of Responsibility, Compliance Officers,	This return should be used to report the following notifications and relevant documentation: 1. Change in Compliance Officer 2. Change in Money Laundering Reporting Officer 3. Director Resignations: Resignation Letters, Form R Marked-up Business Plan Pages 4. Updated		
MLROs	Signed Statement of Responsibility		
Cross Border Service in another Member State	The firm must submit the relevant notification form (and documentation, if appropriate) via the relevant mailbox	Guidance and documentation	
Establishing a Branch in another Member State		Guidance and documentation	
Internal Audits Documentation	When a firm completes an internal audit it should submit the findings to the Central Bank		
Other Documents	This return requires the upload of documents (pdf, word, etc.) of Letters, Review Documentation, Inspection Documentation and Legal Agreements etc. The Investor Money Assurance Report is an annual return that is submitted here. The return applies to Fund Service Providers subject to the Investor Money Requirements (IMR), as		



	contained in Part 7 of the Central Bank (Supervision and Enforcement) Act 2013 (Section 48(1)) (Investment Firms) Regulations 2017 (S.I. No. 604 of 2017)		
Risk Assessment	Materials requested will be detailed on a case-by- case basis		
Subordinated Loan Agreement > 5 Years	When an AIFM wishes to use subordinated debt with a maturity greater than 5 years to comply with its capital requirement, the firm must submit the Loan Subordination Agreement >5 Year template	>5 vear Subordinated Loan	
Subordinated Loan Agreement Perpetual	When an AIFM wishes to issue perpetual subordinated debt to comply with its capital requirement, the firm must submit the Perpetual Loan Subordination Agreement template	Perpetual Loan Subordination Agreement	