

**Fund data for October 2016**

| All funds                              | Total Funds as at 30 September 2016 |                           | Authorised During Month |                           | Revocations during Month |                           | Total Funds as at 31 October 2016 |                           |
|--|-------------------------------------|---------------------------|-------------------------|---------------------------|--------------------------|---------------------------|-----------------------------------|---------------------------|
|  | Funds                               | Funds including sub-funds | Funds                   | Funds including sub-funds | Funds                    | Funds including sub-funds | Funds                             | Funds including sub-funds |
| UCITS                                  | 543                                 | 3,996                     | 4                       | 38                        | 2                        | 20                        | 545                               | 4,014                     |
| AIF – Unit Trusts*                     | 158                                 | 828                       | 0                       | 6                         | 0                        | 1                         | 158                               | 833                       |
| AIF – Designated Investment Companies* | 387                                 | 1,196                     | 0                       | 3                         | 4                        | 9                         | 383                               | 1,190                     |
| AIF – ILP*                             | 8                                   | 8                         | 0                       | 0                         | 0                        | 0                         | 8                                 | 8                         |
| AIF – Common Contractual Funds*        | 12                                  | 54                        | 1                       | 1                         | 0                        | 0                         | 13                                | 55                        |
| AIF-ICAV                               | 111                                 | 258                       | 10                      | 20                        | 0                        | 1                         | 121                               | 277                       |
| Non-Designated Investment Companies    | 3                                   | 3                         | 0                       | 0                         | 0                        | 0                         | 3                                 | 3                         |
| Aggregate                              | 1,222                               | 6,343                     | 15                      | 68                        | 6                        | 31                        | 1,231                             | 6,380                     |
| Funds by Category                      |                                     |                           |                         |                           |                          |                           |                                   |                           |
| UCITS                                  | 543                                 | 3,996                     | 4                       | 38                        | 2                        | 20                        | 545                               | 4,014                     |
| AIF - Retail*                          | 44                                  | 268                       | 0                       | 3                         | 3                        | 0                         | 41                                | 271                       |
| AIF – PIF#                             | 37                                  | 99                        | 0                       | 0                         | 0                        | 0                         | 37                                | 99                        |
| AIF - QIF / QIAIF*                     | 595                                 | 1,977                     | 11                      | 27                        | 1                        | 11                        | 605                               | 1,993                     |
| Non-Designated Investment Companies    | 3                                   | 3                         | 0                       | 0                         | 0                        | 0                         | 3                                 | 3                         |
| Aggregate                              | 1,222                               | 6,343                     | 15                      | 68                        | 6                        | 31                        | 1,231                             | 6,380                     |

\* Note figures includes funds subject to AIFMD, funds availing of transitional arrangement and funds still to convert and subject to NU Notices

# Include PIF funds still subject to NU Notices or funds which have appointed AIFMs and are compliant with provisions of their Prospectus.