

TEMPLATE C FOR THE DISCLOSURE OF QUANTITATIVE AGGREGATE STATISTICAL DATA ON THE SUPERVISORY AUTHORITY

Cell Number	Item	31.12.2023	31.12.2022	31.12.2021	31.12.2020
STAFF OF THE SUPERVISORY AUTHORITY					
B1b	The number of staff at the end of the calendar year ⁽¹⁾	209	201	205	211
ON-SITE INSPECTIONS ⁽²⁾					
B2a	The total number of on-site inspections undertaken both at solo and group level	28	12	16	16
B2aa	Of which, the number of regular inspections	28	11	16	16
B2ab	Of which, the number of ad-hoc inspections	0	1	0	0
B2ac	Of which, the number of on-site inspections mandated to third parties	0	0	0	0
B2ad	Of which, the number of on-site inspections under group supervision which were undertaken jointly with other members of the group's College of supervisors	0	0	0	0
B2ae	Of which, the total number of inspections conducted in order to review and evaluate the reliance of undertakings on external ratings	0	0	0	0
B2b	The total number of man-days spent on on-site inspections both at solo and group level	2,034	1,390	1,649 ⁽²⁾	1,835 ⁽²⁾
B3	The number of formal reviews of ongoing compliance of full or partial internal models with the requirements both at solo and group level	0	0	0	0
B3a	Of which, the number of reviews conducted in order to review and evaluate the reliance of undertakings on external ratings	0	0	0	0

INTERNAL MODELS					
B4a	The number of partial and of full internal models submitted for approval at solo level	1	0	1	0
B4aa	Of which, the number of partial and of full internal models which scope includes credit risk in both market risk and counterparty default risk submitted for approval at solo level	1	0	1	0
B4b	The number of successful applications for approval of partial and of full internal models at solo level	1	0	1	0
B4ba	Of which, the number of partial and of full internal models which scope includes credit risk in both market risk and counterparty default risk at solo level	1	0	1	0
B4c	The number of partial and of full internal models submitted for approval at group level	0	0	0	0
B4ca	Of which, the number of partial and of full internal models which scope includes credit risk in both market risk and counterparty default risk submitted for approval at group level	0	0	0	0
B4d	The number of successful application for approval of partial and of full internal models at group level	0	0	0	0
B4da	Of which, the number of partial and of full internal models which scope includes credit risk in both market risk and counterparty default risk at group level	0	0	0	0

SUPERVISORY MEASURES AND POWERS					
B5a	The number of corrective measures taken, as defined by Articles 110 of Directive 2009/138/EC	0	0	0	0
B5b	The number of corrective measures taken, as defined by Articles 117 of Directive 2009/138/EC	0	0	0	0
B5c	The number of corrective measures taken, as defined by Articles 119 of Directive 2009/138/EC	0	0	0	0
B5ca	Of which, the number of corrective measures which were triggered by a deviation of the risk profile of the insurance or reinsurance undertakings with respect to their credit risk	0	0	0	0
B5d	The number of corrective measures taken, as defined by Articles 137 of Directive 2009/138/EC	0	1	1	0
B5e	The number of corrective measures taken, as defined by Articles 138 of Directive 2009/138/EC	0	2	2	0
B5f	The number of corrective measures taken, as defined by Articles 139 of Directive 2009/138/EC	1	2	2	0
B6	The number of authorisations withdrawn	9	4	6	11
B7	The number of authorisations granted to insurance or reinsurance undertakings	6	4	5	4

B9	The number of applications submitted to the supervisory authorities to use the matching adjustment referred to in Article 77b Directive 2009/138/EC.	0	0	0	0
B9a	Of which, the number of successful applications to use the matching adjustment referred to in Article 77b Directive 2009/138/EC	0	0	0	0
B10	The number of applications submitted to the supervisory authorities to use the volatility adjustment referred to in Article 77d of Directive 2009/138/EC	2	0	1	2
B10a	Of which, the number of successful applications to use the volatility adjustment referred to in Article 77d of Directive 2009/138/EC	2	0	1	2
B11a	The number of extensions granted in accordance with Article 138(4) of Directive 2009/138/EC	0	0	0	0
B11b	The average duration of extensions granted in accordance with Article 138(4) of Directive 2009/138/EC	0	0	0	0
B12	The number of authorisations granted in accordance with Article 304 of Directive 2009/138/EC	0	0	0	0
B13	The number of applications submitted to the supervisory authority to use the transitional risk-free interest rate term structure referred to in Article 308c Directive 2009/138/EC	0	0	0	0

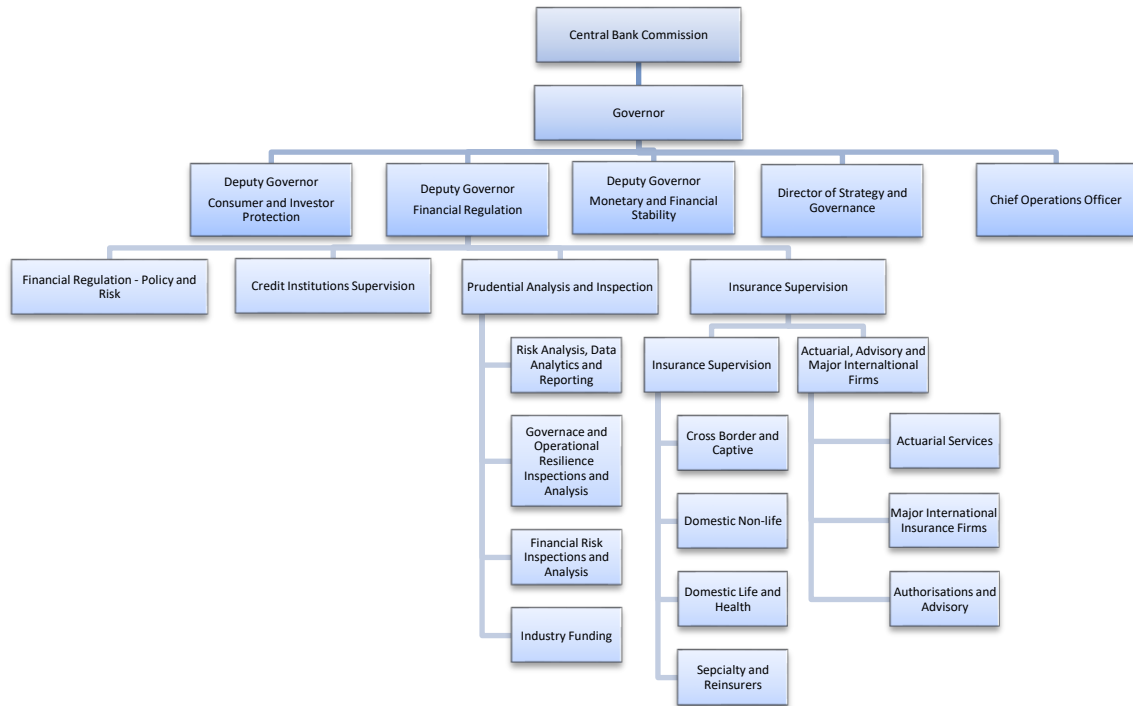
B13a	Of which, the number of successful applications to use the transitional risk-free interest rate term structure referred to in Article 308c Directive 2009/138/EC	0	0	0	0
B13b	The number of decisions to revoke the approval of this transitional measure pursuant to Article 308e of Directive 2009/138/EC.	0	0	0	0
B14	The number of applications submitted to the supervisory authority to use the transitional deduction to technical provisions referred to in Article 308d Directive 2009/138/EC	0	0	0	0
B14a	Of which, the number of successful applications to use the transitional deduction to technical provisions referred to in Article 308d Directive 2009/138/EC	0	0	0	0
COLLEGES OF SUPERVISORS					
B15a	The number of meetings of Colleges of supervisors which the supervisory authority attended as a member	54	53	55	67
B15b	The number of meetings of Colleges of supervisors which the supervisory authority chaired as group supervisor	1	2	2	2
OWN FUND APPROVALS					
B16a	The number of applications submitted to the supervisory authorities for the approval of ancillary own funds	7	4	5	5

B16aa	Of which, the number of successful applications for approval of ancillary own funds	7	4	5	5
B17	The number of applications submitted to the supervisory authorities for approval of the assessment and classification of own-fund items, which are not covered by the lists laid down in Articles 69, 72, 74, 76 and 78 of Delegated Regulation (EU) 2015/35	9	10	8	10
B17a	Of which, the number of successful applications for the approval of the assessment and classification of own-fund items, which are not covered by the lists laid down in Articles 69, 72, 74, 76 and 78 of Delegated Regulation (EU) 2015/35	9	10	8	10
PEER REVIEWS					
B18a	The number of peer review analyses organised and conducted by EIOPA in accordance with Article 30 of Regulation (EU) No 1094/2010, in which the supervisory authority participated	1	2	1	1

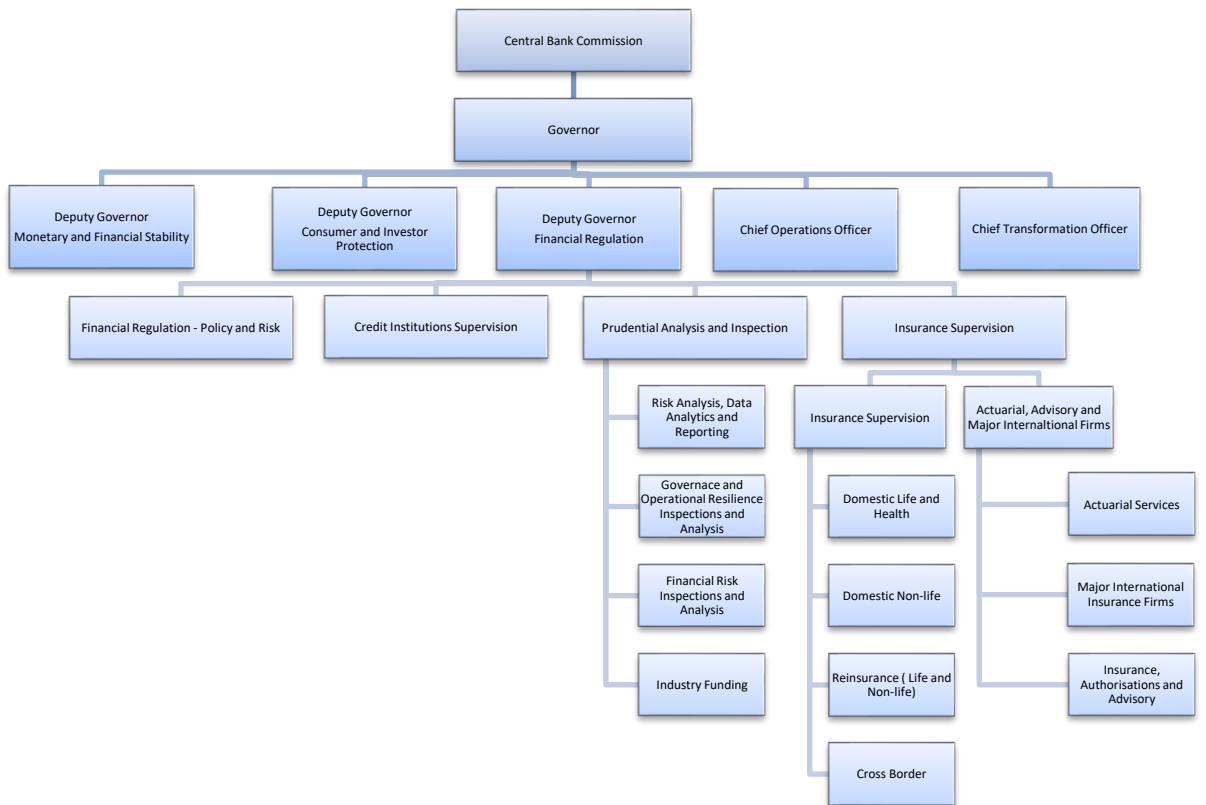
Footnotes	<p>(1) The FTE comprises direct and ancillary staff.</p> <p>(2) In 2021, in line with Government measures on COVID 19, all inspections were performed virtually. In 2020, owing to COVID 19, inspections were in some cases performed virtually. Two inspections were completed at the location of the undertaking (accounting for 607 man-days) and 14 were virtual inspections (1,228 man-days).</p>
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TEMPLATE D FOR THE DISCLOSURE OF QUALITATIVE AGGREGATE STATISTICAL DATA ON THE SUPERVISORY AUTHORITY

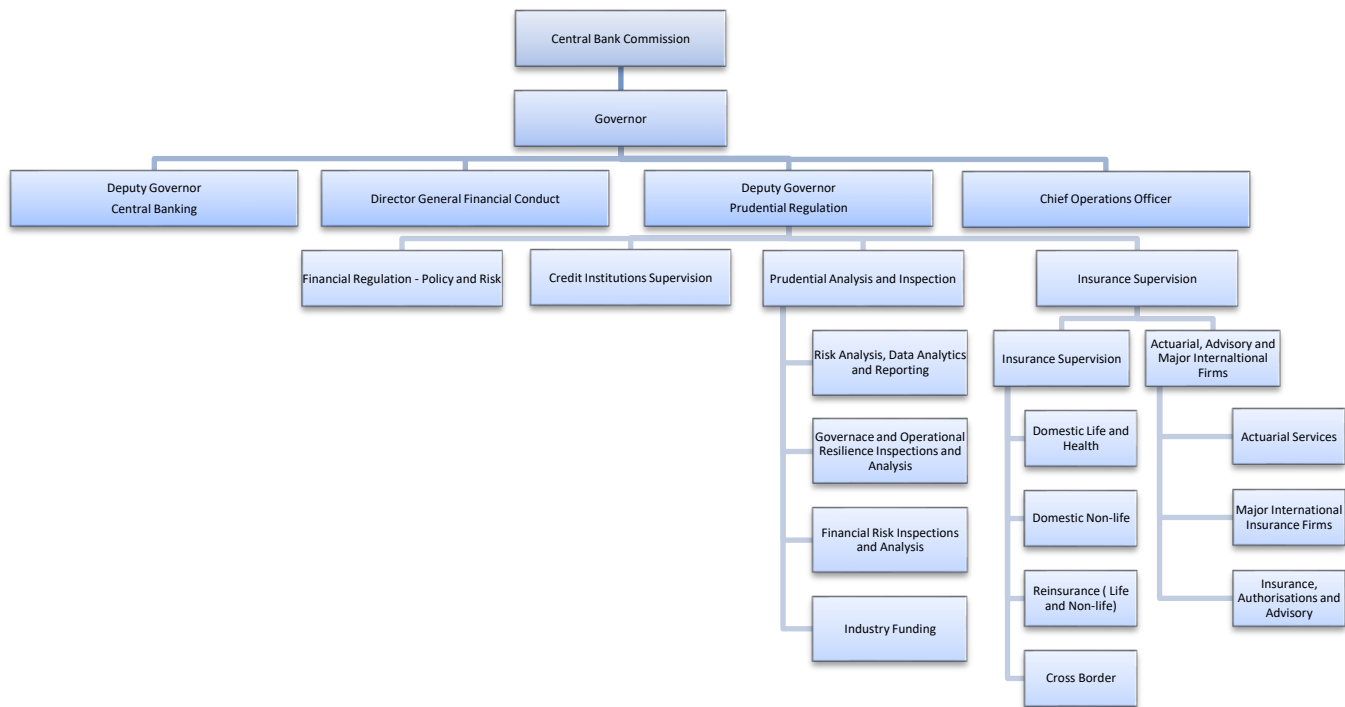
B1a The structure of the supervisory authority at 31 December 2023



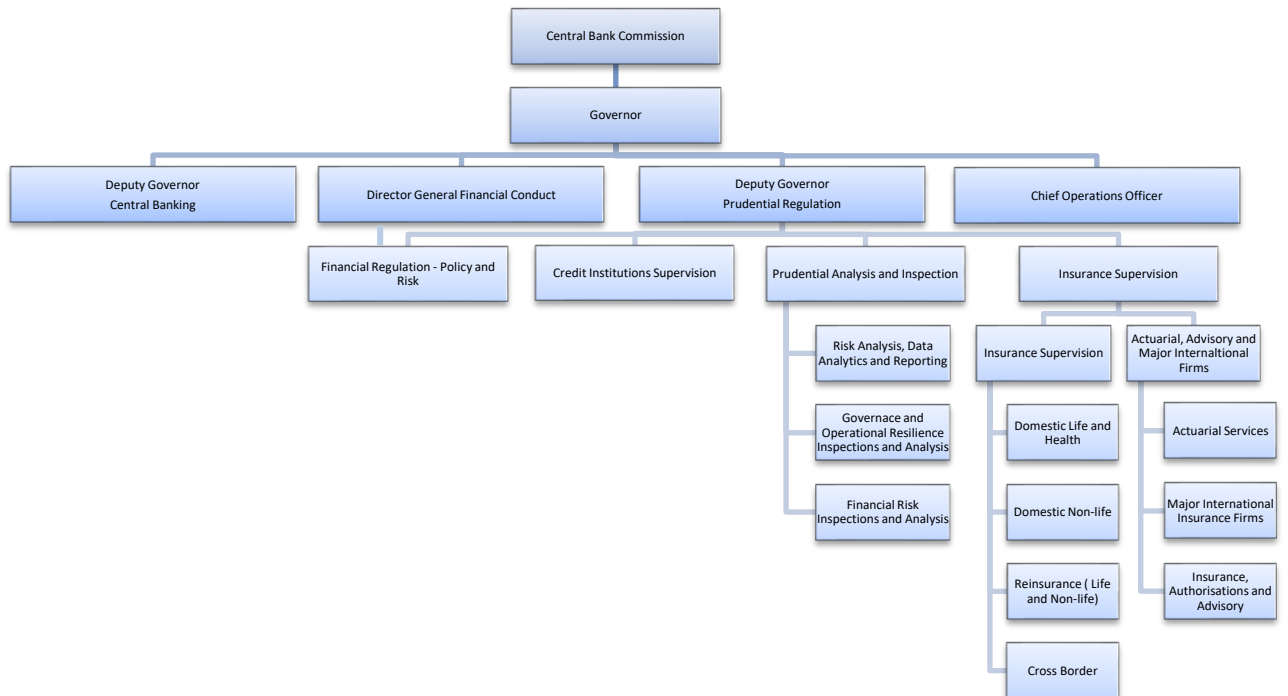
At 31 December 2022



At 31 December 2021



At 31 December 2020



(Note: Prudential Analysis and Inspection division provides analytics and inspections support to all sectors, including Insurance Supervision division.)

B8a The criteria used for the application of capital add-ons

Not applicable - the Central Bank has not applied any capital add-ons in the period from 2020 to 2023.

B8b The criteria used for the calculation of capital add-ons

Not applicable - the Central Bank has not applied any capital add-ons in the period from 2020 to 2023.

B8c The criteria used for the removal of capital add-ons

Not applicable - the Central Bank has not removed any capital add-ons in the period from 2020 to 2023.

B16b The main features of the approved items of ancillary own funds

There are three distinct forms of ancillary own funds approved for use by the Central Bank in the period from 2020 to 2023:

- Unpaid and uncalled share capital, where the contractual arrangement is unencumbered and the arrangement cannot be unilaterally cancelled. This item was approved as Tier 2 capital.
- A capital commitment deed, where an unconditional capital contribution will be made at the request of the undertaking and the arrangement is free from encumbrances. This item was approved as Tier 2 capital.
- A claim against members of mutual type associations by way of a call on demand for supplementary contributions within the following 12 months that is clear of encumbrances. This item was approved as Tier 2 capital.

B17b The main features of the approved items of own funds items, which are not covered by the relevant lists of the Articles 69, 72, 74, 76 and 78 of Delegated Regulation 2015/35

The approved own funds items comprise capital contributions. These items have no incentive for repayment, are not a loan, do not confer any voting rights or entitlements, are free from mandatory fixed charges and service costs, and are free from encumbrances.

B17c The method used to assess and classify the approved items of own-fund items, which are not covered by the relevant lists of the Articles 69, 72, 74, 76 and 78 of Delegated Regulation (EU) 2015/35

An application to use an own funds item must be made in writing, approved by the administrative, management or supervisory body (AMSB) of the undertaking, and include a description of how the criteria in Articles 93 and 94 of the Solvency II Directive, and the features determining classification set out in Articles 71, 73 and 77 of Commission Delegated Regulation 2015/35, have been satisfied. The description of the own fund item should be sufficient to allow the Central Bank to conclude on the loss absorbing capacity of the item including the contractual terms of the arrangement.

The Central Bank expects that an application for approval of a capital contribution to include a legal opinion that would conclude whether or not: (a) the contract and any connected arrangements are legally binding and enforceable in all relevant jurisdictions, and (b) the capital contribution will comply, in terms of both legal form and economic substance, with the relevant criteria in Articles 93 and 94 of

Solvency II Directive 2009/138/EC and the features determining classification set out in Articles 71, 73 and 77 of Commission Delegated Regulation 2015/35.

All approved items of own-fund are capital contributions and are classified as Tier 1 own funds.

B18b The scope of peer review analyses organised and conducted by EIOPA in accordance with Article 30 of Regulation (EU) No 1094/2010, in which the supervisory authority participated

There were one peer reviews completed in 2023 in which the supervisory authority participated:

Peer Review: Prudent Person Principle (PPP)

The peer review focused on the aspects of Investments in non-traditional or more complex assets, including derivatives (above all in case of their use for efficient portfolio management) with a focus on prudential risks; and assets backing unit/index linked (UIL) contracts where the risk is borne by policyholders, including the option for Member States to restrict the type of assets or reference values to which policy benefits may be linked, with a focus on conduct risks.

The reference period for the Peer Review on PPP was from 01 January 2016 to 31 December 2022. The final report is expected to be published in March/April 2024.

2022

There were two peer reviews completed in 2022 in which the supervisory authority participated:

Follow up Peer Review: Propriety of administrative, management or supervisory body members (AMSB) and qualifying shareholders

This follow-up covered the peer review on propriety of AMSB members and qualifying shareholders performed in 2019 and assessed whether the recommended actions have been addressed and what activities regarding regulatory framework and/or organisational structure and/or supervisory practice(s)/supervisory guidance have been undertaken by individual NCAs to fulfil the recommended action(s) issued to them. In addition, it addresses the monitoring of how the best practices, as identified in that past peer review, have been taken into consideration, implemented or further developed by the NCAs. It identifies the progress made, up to January 2022, against the recommended actions of the peer review on propriety of AMSB members and qualifying shareholders. The completed report was published on 31 January 2023.

Peer Review on Product Oversight & Governance

The peer review assessed how NCAs supervise POG requirements and its application in practice with regard to the target market assessment, product testing and product monitoring and review phase for Insurance-Based Investment Products (IBIPs). These are key phases of the POG and they are also linked to the COVID-19 pandemic included in the narrative. The peer review will aim to assess how, in their supervisory approach and activities relating to POG, NCAs cover the following aspects:

- Systems and controls for POG processes;
- Processes and procedures for target market assessment;
- Processes and procedures for product testing;
- Distribution strategies and arrangements;
- Processes and procedures for monitoring and reviewing products

The reference period for the Peer Review on POG is from 01 October 2018 (IDD transposition date) till the launch of the self-assessment questionnaire in 2022/Q1.

2021

Peer Review on Outsourcing

The peer review assessed the application of relevant provisions of the Solvency II framework related to outsourcing and exchange experience and information about the scope of outsourcing supervision to identify best practices. The peer review assesses National Supervisory Authorities' (NSAs) approaches to outsourcing and supervision of outsourced functions and activities.

2020

There was one peer review completed in 2020 in which the supervisory authority participated:

Peer Review on EIOPA's Decision on the Collaboration of Insurance Supervisory Authorities – Report Date December 2020

Since the introduction of the Decision in May 2017, there was evidence that communication between Home and Host National Supervisory Authorities (NSAs) had improved. However, in December 2018, EIOPA in its advice to the Commission stated that cross-border supervision was not free from supervisory challenges and improvement in cooperation in all stages of supervision was necessary. To this end, EIOPA undertook to complete a peer review on the implementation and application of the Decision.

The peer review was conducted across 32 NSAs with EIOPA analysing legal and regulatory frameworks, cooperation between NSAs, and selected supervisory practices related to the Decision concerning cross-border activities, data storage and portfolio transfer.

The peer review focused specifically on the following sections of the Decision:

- Application of Decision by NSAs;
- Information sharing - new authorisation;
- Notification for cross-border activity (FoE and FoS) - exchange of information;
- Supervision on a continuous basis;
- Portfolio transfer;
- Data storage.

The reference period for the peer review was 1 May 2017 (the start of the application of the Decision) until 1 July 2019.